



Robert Greene Sterne
Edward J. Kessler
Jorge A. Goldstein
David K.S. Cornwell
Robert W. Esmond
Tracy-Gene G. Durkin
Michele A. Cimbala
Michael B. Ray
Robert E. Sokohl
Eric K. Steffe
Michael Q. Lee
Steven R. Ludwig
John M. Covert
Linda E. Alcorn
Robert C. Millonig
Lawrence B. Bugalsky
Donald J. Featherstone
Michael V. Messenger
Judith U. Kim
Timothy J. Shea, Jr.

Patrick E. Garrett
Jeffery T. Helvey
Heidi L. Kraus
Crystal D. Sayles
Edward W. Yee
Albert L. Ferro
Donald R. Banowitz
Peter A. Jackman
Molly A. McCall
Teresa U. Medler
Jeffrey S. Weaver
Kendrick P. Patterson
Vincent L. Capuano
Albert J. Fasulo II
Eldora Ellison Floyd
W. Russell Swindell
Thomas C. Fiala
Brian J. Del Buono
Virgil Lee Beason
Reginald D. Lucas

Kimberly N. Reddick
Theodore A. Wood
Elizabeth J. Haanes
Bruce E. Chalker
Joseph S. Ostroff
Frank R. Cottingham
Christine M. Lhuillier
Rae Lynn Prengaman
Jane Shershenovich
Lawrence J. Carroll
George S. Bardmesser

Senior Counsel
Samuel L. Fox
Kenneth C. Bass III

Registered Patent Agents
Karen R. Markowicz
Andrea J. Kamage

Nancy J. Leith
Joseph M. Conrad III
Ann E. Summerfield
Helene C. Carlson
Gaby L. Longworth
Matthew J. Dowd
Aaron L. Schwartz
Angelique G. Uy
Boris A. Matvenko
Mary B. Tung
Katrina Y. Pei
Bryan L. Skelton
Jason D. Eisenberg
Alicia M. Choi

*Admitted only in Maryland
*Admitted only in Virginia
*Admitted only in Texas

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WRITER'S DIRECT NUMBER:
(202) 218-7822

INTERNET ADDRESS:
eyee@skgf.com

Commissioner for Patents
Washington, D.C. 20231

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Art Unit 2164

Technology Center 2100

Re: U.S. Utility Patent Application
Appl. No. 09/294,898; Filed: April 20, 1999
For: **System, Method, and Computer Program Product for Collateral
Management Operations**
Inventors: Shimko *et al.*
Our Ref: 1750.0150000

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Power of Attorney from Assignee;
2. Certificate Under 37 C.F.R. § 3.73(b) with copies of the agreements attached;
3. Fee Transmittal Form PTO/SB/17;
4. Information Disclosure Statement;
5. Form PTO-1449 (1 sheet);
6. A copy of each reference cited on Form PTO-1449; and
7. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
April 22, 2002
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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Edward W. Yee
Attorney for Applicants
Registration No. 47,294

EWY/dnk
Enclosures

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